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<b>(21) International Application Number:</b> PCT/US97/19935 <b>(22) International Filing Date:</b> 6 November 1997 (06.11.97)  <b>(30) Priority Data:</b> 08/744,882 8 November 1996 (08.11.96) US  <b>(71) Applicant:</b> ST. ELIZABETH'S MEDICAL CENTER OF BOSTON, INC. [US/US]; 736 Cambridge Street, Boston, MA 02135 (US).  <b>(72) Inventors:</b> ISNER, Jeffrey, M.; 34 Brenton Road, Weston, MA 02193 (US). ASAHARA, Takayuki; 38 Hayes Street, Arlington, MA 02174 (US).  <b>(74) Agents:</b> RESNICK, David, S. et al.; Dike, Bronstein, Roberts & Cushman, LLP, 130 Water Street, Boston, MA 02109 (US).		<b>(81) Designated States:</b> AU, CA, JP, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> METHODS FOR REGULATING ANGIOGENESIS		
<b>(57) Abstract</b>  In accordance with the present invention, EC progenitors can be used in a method for regulating angiogenesis, i.e., enhancing or inhibiting blood vessel formation, in a selected patient and in some preferred embodiments for targeting specific locations. For example, the EC progenitors can be used to enhance angiogenesis or to deliver an angiogenesis modulator, e.g. anti- or pro-angiogenic agents, respectively to sites of pathologic or utilitarian angiogenesis. Additionally, in another embodiment, EC progenitors can be used to induce reendothelialization of an injured blood vessel, and thus reduce restenosis by indirectly inhibiting smooth muscle cell proliferation.		

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## METHODS FOR REGULATING ANGIOGENESIS

### BACKGROUND OF THE INVENTION

Blood vessels are the means by which oxygen and nutrients are supplied to living tissues and waste products removed from living tissue. Angiogenesis is the process by which new blood vessels are formed, as reviewed, for example, by Folkman and Shing, J. Biol. Chem. 267 (16), 10931-10934 (1992). Thus angiogenesis is a critical process. It is essential in reproduction, development and wound repair. However, inappropriate angiogenesis can have severe consequences. For example, it is only after many solid tumors are vascularized as a result of angiogenesis that the tumors begin to grow rapidly and metastasize. Because angiogenesis is so critical to these functions, it must be carefully regulated in order to maintain health. The angiogenesis process is believed to begin with the degradation of the basement membrane by proteases secreted from endothelial cells (EC) activated by mitogens such as vascular endothelial growth factor (VEGF) and basic fibroblast growth factor (bFGF). The cells migrate and proliferate, leading to the formation of solid endothelial cell sprouts into the stromal space, then, vascular loops are formed and capillary tubes develop with formation of tight junctions and deposition of new basement membrane.

20

In the adults, the proliferation rate of endothelial cells is typically low compared to other cell types in the body. The turnover time of these cells can exceed one thousand days. Physiological exceptions in which angiogenesis results in rapid proliferation occurs under tight regulation are found in the female reproduction system and during wound healing.

25

The rate of angiogenesis involves a change in the local equilibrium between positive and negative regulators of the growth of microvessels. Abnormal angiogenesis occurs when the body loses its control of angiogenesis, resulting in either excessive or insufficient blood vessel growth. For instance, conditions such as ulcers, strokes, and heart attacks may result from the absence of angiogenesis normally required for natural healing. On the contrary, excessive blood vessel proliferation may favor tumor growth and spreading, blindness, psoriasis and rheumatoid arthritis.

10

The therapeutic implications of angiogenic growth factors were first described by Folkman and colleagues over two decades ago (Folkman, *N. Engl. J. Med.*, 285:1182-1186 (1971)). Thus, there are instances where a greater degree of angiogenesis is desirable-- wound and ulcer healing. Recent investigations have established the feasibility of using recombinant angiogenic growth factors, such as fibroblast growth factor (FGF) family (Yanagisawa-Miwa, et al., *Science*, 257:1401-1403 (1992) and Baffour, et al., *J Vasc Surg*, 16:181-91 (1992)), endothelial cell growth factor (ECGF)(Pu, et al., *J Surg Res*, 54:575-83 (1993)), and more recently, vascular endothelial growth factor (VEGF) to expedite and/or augment collateral artery development in animal models of myocardial and hindlimb ischemia (Takeshita, et al., *Circulation*, 90:228-234 (1994) and Takeshita, et al., *J Clin Invest*, 93:662-70 (1994)).

25

Conversely, there are also instances, where inhibition of angiogenesis is desirable. For example, many diseases are driven by persistent unregulated angiogenesis. In arthritis, new capillary blood vessels invade the joint and destroy cartilage. In diabetes, new capillaries invade the vitreous, bleed, and cause blindness. Ocular neovascularization is the most common cause of blindness. Tumor

30

growth and metastasis are angiogenesis-dependent. A tumor must continuously stimulate the growth of new capillary blood vessels for the tumor itself to grow.

5           The current treatment of these diseases is inadequate. Agents which prevent continued angiogenesis, e.g, drugs (TNP-470), monoclonal antibodies and antisense nucleic acids, are currently being tested. However, new agents that inhibit angiogenesis are need.

10           Recently, the feasibility of gene therapy for modulating angiogenesis has been demonstrated. For example, promoting angiogenesis in the treatment of ischemia was demonstrated in a rabbit model and in human clinical trials with VEGF using a Hydrogel-coated angioplasty balloon as the gene delivery system. Successful transfer  
15 and sustained expression of the VEGF gene in the vessel wall subsequently augmented neovascularization in the ischemic limb (Takeshita, et al., *Laboratory Investigation*, 75:487-502 (1996); Isner, et al., *Lancet*, 348:370 (1996)). In addition, it has been demonstrated that  
20 direct intramuscular injection of DNA encoding VEGF into ischemic tissue induces angiogenesis; providing the ischemic tissue with increased blood vessels (Tsurumi et al., *Circulation*, 94(12):3281-3290 (1996)).

Alternative methods for regulating angiogenesis are still  
25 desirable for a number of reasons. For example, it is believed that native endothelial cell (EC) number and/or viability decreases over time. Thus, in certain patient populations, e.g., the elderly, the resident population of ECs that is competent to respond to administered angiogenic cytokines may be limited.

30

Moreover, while agents promoting or inhibiting angiogenesis may

be useful at one location, they may be undesirable at another location. Thus, means to more precisely regulate angiogenesis at a given location are desirable.

5 SUMMARY OF THE INVENTION

We have now discovered that by using techniques similar to those employed for HSCs, EC progenitors can be isolated from circulating blood. In vitro, these cells differentiate into ECs. Indeed, one can use a multipotentiate undifferentiated cell as long as it is still  
10 capable of becoming an EC, if one adds appropriate agents to result in it differentiating into an EC.

We have also discovered that in vivo, heterologous, homologous, and autologous EC progenitor grafts incorporate into sites of active  
15 angiogenesis or blood vessel injury, i.e. they selectively migrate to such locations. This observation was surprising. Accordingly, one can target such sites by the present invention.

The present invention provides a method for regulating  
20 angiogenesis in a selected patient in need of a change in the rate of angiogenesis at a selected site. The change in angiogenesis necessary may be reduction or enhancement of angiogenesis. This is determined by the disorder to be treated. In accordance with the method of the present invention, an effective amount of an endothelial progenitor cell  
25 or modified version thereof to accomplish the desired result is administered to the patient.

In order to reduce undesired angiogenesis, for example, in the treatment of diseases such as rheumatoid arthritis, psoriasis, ocular  
30 neovascularization, diabetic retinopathy, neovascular glaucoma, angiogenesis-dependent tumors and tumor metastasis, a modified

endothelial cell, having been modified to contain a compound that inhibits angiogenesis, e.g., a cytotoxic compound or angiogenesis inhibitor, can be administered.

5           To enhance angiogenesis, for example in the treatment of cerebrovascular ischemia, renal ischemia, pulmonary ischemia, limb ischemia, ischemic cardiomyopathy and myocardial ischemia, endothelial progenitor cells are administered. To further enhance angiogenesis an endothelial progenitor cell modified to express an  
10 endothelial cell mitogen may be used. Additionally, an endothelial cell mitogen or a nucleic acid encoding an endothelial cell mitogen can further be administered.

          In another embodiment, the present invention provides methods  
15 of enhancing angiogenesis or treating an injured blood vessel. In accordance with these methods, endothelial progenitor cells are isolated from the patient, preferably from peripheral blood, and readministering to the patient. The patient may also be treated with endothelial cell mitogens to endothelial cell growth. The vessel injury  
20 can be the result of balloon angioplasty, deployment of an endovascular stent or a vascular graft.

          The present invention also provides a method of screening for the presence of ischemic tissue or vascular injury in a patient. The  
25 method involves contacting the patient with a labelled EC progenitor and detecting the labelled cells at the site of the ischemic tissue or vascular injury.

          The present invention also includes pharmaceutical products  
30 and kit for all the uses contemplated in the methods described herein.

Other aspects of the invention are disclosed *infra*.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figs. 1A-1G show cell shape and formation. Fig 1A shows  
5 spindle shaped attaching cells ( $AT^{CD34+}$ ) 7 days after plating  $MB^{CD34+}$  on  
fibronectin with standard medium (10). Network formation (1B) and  
cord-like structures (1C) were observed 48 h after plating co-culture of  
 $MB^{CD34+}$ , labeled with DiI dye (Molecular Probe), and unlabeled  $MB^{CD34-}$   
(ratio of 1:100) on fibronectin-coated dish. These cords consisted  
10 principally of DiI-labeled  $MB^{CD34+}$  derived cells ( $AT^{CD34+}$ ). Beginning 12 h  
after co-culture,  $MB^{CD34+}$  derived cells demonstrated multiple foci of  
cluster formation (1D, 1E,).  $AT^{CD34+}$  sprout from the periphery, while  
round cells remain in the center and detach from the cluster several  
days later. After 5 d, uptake of acLDL-DiI (Molecular Probe) was seen in  
15  $AT^{CD34+}$  at the periphery but not the center of the cluster (1F, 1G).

Fig. 2 shows the number of  $AT^{CD34+}$  12 h and 3 d after single  
culture of  $MB^{CD34+}$  on plastic alone ( $CD34+/non$ ), collagen coating  
( $CD34+/COL$ ), or fibronectin ( $CD34+/FN$ ), and  $MB^{CD34-}$  on fibronectin  
20 ( $CD34-/FN$ ).  $AT^{CD34+}$  yielded significantly higher number of cells at 12  
h and 3 d when plated on fibronectin ( $p < 0.05$ , by ANOVA).

Fig. 3 shows FACS analysis of freshly isolated  $MB^{CD34+}$ ,  $AT^{CD34+}$   
after 7 days in culture, and HUVECs. Cells were labeled with FITC  
25 using antibodies against CD34, CD31 (Bioscience); Flk-1, Tie-2 (Santa  
Cruz Biotechnology); and CD45. All results were confirmed by  
triplicate experiments. Shaded area of each box denotes negative  
antigen gate, white area denotes positive gate. Numbers indicated for  
individual gates denote percentage of cells determined by comparison  
30 with corresponding negative control labeling.



Fig. 4 shows expression of ecNOS mRNA in MB<sup>CD34+</sup>, MB<sup>CD34+</sup>, AT<sup>CD34+</sup>, human coronary smooth muscle cells (HCSMCs) and HUVECs. DNA was reverse transcribed from  $\approx 1 \times 10^6$  cells each. Equal aliquots of the resulting DNA were amplified by PCR (40 cycles) with paired  
 5 primers (sense/antisense: AAG ACA TTT TCG GGC TCA CGC TGC GCA CCC/TGG GGT AGG CAC TTT AGT AGT TCT CCT AAC, SEQ ID NO:1) to detect ecNOS mRNA. Equal aliquots of the amplified product were analyzed on a 1% agarose gel. Only a single band was observed, corresponding to the expected size (548 bp) for ecNOS. Lane 1=MB<sup>CD34+</sup>  
 10 , Lane 2=MB<sup>CD34+</sup>, Lane 3=AT<sup>CD34+</sup> after 3d, Lane 4=AT<sup>CD34+</sup> after 7d, Lane 5=HCSMCs, Lane 6=HUVECs.

Fig 5 is a graph illustrating NO release from AT<sup>CD34+</sup> was measured with an NO-specific polarographic electrode connected to an  
 15 NO meter (Iso-NO, World Precision Instruments) (17). Calibration of NO electrode was performed daily before experimental protocol according to the following equation:  $2\text{KNO}_2 + 2\text{KI} + 2\text{H}_2\text{SO}_4 \rightarrow 2\text{NO} + \text{I}_2 + 2\text{H}_2\text{O} + 2\text{K}_2\text{SO}_4$ . A standard calibration curve was obtained by adding graded concentrations of KNO<sub>2</sub> (0 - 500 nmol/L) to calibration  
 20 solution containing KI and H<sub>2</sub>SO<sub>4</sub>. Specificity of the electrode to NO was previously documented by measurement of NO from authentic NO gas (18). AT<sup>CD34+</sup> cultured in 6-well plate were washed and then bathed in 5 ml of filtered Krebs-Henseleit solution. Cell plates were kept on a slide warmer (Lab Line Instruments) to maintain temperature between  
 25 35 and 37°C. For NO measurement, sensor probe was inserted vertically into the wells, and the tip of the electrode remained 2 mm under the surface of the solution. Measurement of NO, expressed as pmol/10<sup>5</sup> cells, was performed in a well with incremental doses of VEGF (1, 10, 100 ng/ml) and Ach (0.1, 1, 10  $\mu\text{M}$ ). HUVECs and bovine  
 30 aortic ECs were employed as positive controls. For negative control, HCSMCs, NO was not detectable. All values reported represent means

of 10 measurements for each group.

Figs. 6A-6D show co-culture of MB<sup>CD34+</sup> with HUVECs. Freshly isolated MB<sup>CD34+</sup> were labeled with DiI dye and plated on a confluent  
5 HUVEC monolayer attached to a fibronectin-coated chamber slide at a density of 278 cells/mm<sup>2</sup> (Nunc). Differentiation of MB<sup>CD34+</sup> into spindle shaped attaching cells (AT<sup>CD34+</sup>) was observed among HUVECs within 12 h (6A). The AT<sup>CD34+</sup> number increased on monolayer for 3 d (6B), while meshwork structures were observed in some areas (6C). Three  
10 days after co-culture, both cells were re-seeded on Matrigel (Becton Dickinson)-coated slides and within 12h disclosed capillary network formation, consisting of DiI-labeled AT<sup>CD34+</sup> and HUVECs (6D).

Fig. 7 shows the effect of activated ECs and VEGF on MB<sup>CD34+</sup>  
15 differentiation was investigated by pretreatment of HUVEC with TNF- $\alpha$  (20ng/ml) for 12 h, and/or incubation of AT<sup>CD34+</sup>/HUVEC co-culture with VEGF (50ng/ml).

Figs. 8A-8K are drawings of sections retrieved from ischemic  
20 hindlimb following in vivo administration of heterologous (Fig 8A-8H), homologous (8I), and autologous (8J,8K) EC progenitors. (8A,8B) Red fluorescence (|||) in small inter-muscular artery 6 wks after injection of DiI-labeled MB<sup>CD34+</sup>. Fluorescence Green (\\) denotes EC-specific lectin UEA-1. (8C). DiI (red) and CD31 (green) in capillaries between muscles,  
25 photographed through double filter 4 wks after DiI-labeled MB<sup>CD34+</sup> injection. (8D) Same capillary structure as in (C), showing CD31 expression by MB<sup>CD34+</sup> which have been incorporated into host capillary structures expressing CD31. (8E,8F) Immunostaining 2 wks after MB<sup>CD34+</sup> injection shows capillaries comprised of DiI-labeled MB<sup>CD34+</sup>  
30 derived cells expressing tie-2 receptor (green fluorescence). Most MB<sup>CD34+</sup> derived cells are tie-2 positive, and are integrated with some

tie-2 positive native (host) capillary cells identified by absence of red fluorescence. (8G,8H) Two wks after injection of DiI-labeled MB<sup>CD34+</sup>. Although isolated MB<sup>CD34+</sup> derived cells (red) can be observed between muscles, but these cells do not express CD31.

5

(8I) Immunohistochemical  $\beta$ -galactosidase staining of muscle harvested from ischemic limb of B6,129 mice 4 wks following administration of MB<sup>Ftk-1+</sup> isolated from  $\beta$ -galactosidase transgenic mice. Cells overexpressing  $\beta$ -galactosidase (arrows) have been  
10 incorporated into capillaries and small arteries; these cells were identified as ECs by anti-CD31 antibody and BS-1 lectin.

(8J,8K) Sections of muscles harvested from rabbit ischemic hindlimb 4 wks after administration of autologous MB<sup>CD34+</sup>. DiI  
15 fluorescence (J) indicates localization of MB<sup>CD34+</sup> derived cells in capillaries seen in phase contrast photomicrograph (8K). Each scale bar indicates 50  $\mu$ m.

Fig. 9 is a photograph from a scanning electron microscope  
20 showing that EC progenitors had adhered to the denuded arterial surface and assumed a morphology suggestive of endothelial cells.

#### DETAILED DESCRIPTION OF THE INVENTION

We have now discovered a means to regulate angiogenesis, to  
25 promote angiogenesis in certain subject populations, and to more precisely target certain tissues. These methods all involve the use of endothelial cell progenitors. One preferred progenitor cell is an angioblast.

30 Post-natal neovascularization is believed to result exclusively

from the proliferation, migration, and remodeling of fully differentiated endothelial cells (ECs) derived from pre-existing native blood vessels (1). This adult paradigm, referred to as angiogenesis, contrasts with vasculogenesis, the term applied to formation of embryonic blood  
5 vessels from EC progenitors (2).

In contrast to angiogenesis, vasculogenesis typically begins as a cluster formation, or blood island, comprised of EC progenitors (e.g. angioblasts) at the periphery and hematopoietic stem cells (HSCs) at  
10 the center (3). In addition to this intimate and predictable spatial association, such EC progenitors and HSCs share certain common antigenic determinants, including flk-1, tie-2, and CD-34. Consequently, these progenitor cells have been interpreted to derive from a common hypothetical precursor, the hemangioblast (3,4).

15

The demonstration that transplants of HSCs derived from peripheral blood can provide sustained hematopoietic recovery constitutes inferential evidence for circulating stem cells. (5). This observation is now being exploited clinically as an alternative to bone  
20 marrow transplantation.

We have now discovered that by using techniques similar to those employed for HSCs, EC progenitors can be isolated from circulating blood. In vitro, these cells differentiate into ECs. Indeed,  
25 one can use a multipotentiate undifferentiated cell as long as it is still capable of becoming an EC, if one adds appropriate agents to result in it differentiating into an EC.

We have also discovered that in vivo, heterologous, homologous,

and autologous EC progenitor grafts incorporate into sites of active angiogenesis or blood vessel injury, i.e. they selectively migrate to such locations. This observation was surprising. Accordingly, one can target such sites by the present invention.

5

In accordance with the present invention, EC progenitors can be used in a method for regulating angiogenesis, i.e., enhancing or inhibiting blood vessel formation, in a selected patient and in some preferred embodiments for targetting specific locations. For example,  
10 the EC progenitors can be used to enhance angiogenesis or to deliver an angiogenesis modulator, e.g. anti- or pro-angiogenic agents, respectively to sites of pathologic or utilitarian angiogenesis. Additionally, in another embodiment, EC progenitors can be used to induce reendothelialization of an injured blood vessel, and thus reduce  
15 restenosis by indirectly inhibiting smooth muscle cell proliferation.

In one preferred embodiment the EC cells can be used alone to potentiate a patient for angiogenesis. Some patient population, typically elderly patients, may have either a limited number of ECs or a  
20 limited number of functional ECs. Thus, if one desires to promote angiogenesis, for example, to stimulate vascularization by using a potent angiogenesis promotor such as VEGF, such vascularization can be limited by the lack of ECs. However, by administering the EC progenitors one can potentiate the vascularization in those patients.

25

Accordingly, the present method permits a wide range of strategies designed to modulate angiogenesis such as promoting neovascularization of ischemic tissues (24). EC mitogens such as VEGF and bFGF, for example, have been employed to stimulate native

ECs to proliferate, migrate, remodel and thereby form new sprouts from parent vessels (25). A potentially limiting factor in such therapeutic paradigms is the resident population of ECs that is competent to respond to administered angiogenic cytokines. The finding that NO  
5 production declines as a function of age (26) may indicate a reduction in EC number and/or viability that could be addressed by autologous EC grafting. The success demonstrated to date with autologous grafts of HSCs derived from peripheral blood (5) supports the clinical feasibility of a "supply side" approach to therapeutic angiogenesis. The  
10 in vivo data set forth herein indicate that autologous EC transplants are feasible, and the in vitro experiments indicate that EC progenitors (MBCD34<sup>+</sup> derived ECs) can be easily manipulated and expanded ex vivo.

15 Our discovery that these EC progenitors home to foci of angiogenesis makes these cells useful as autologous vectors for gene therapy and diagnosis of ischemia or vascular injury. For example, these cells can be utilized to inhibit as well as augment angiogenesis. For anti-neoplastic therapies, for example, EC progenitors can be  
20 transfected with or coupled to cytotoxic agents, cytokines or co-stimulatory molecules to stimulate an immune reaction, other anti-tumor drugs or angiogenesis inhibitors. For treatment of regional ischemia, angiogenesis could be amplified by prior transfection of EC progenitors to achieve constitutive expression of angiogenic cytokines  
25 and/or selected matrix proteins (27). In addition, the EC progenitors may be labelled, e.g., radiolabelled, administered to a patient and used in the detection of ischemic tissue or vascular injury.

EC progenitors may be obtained from human mononuclear cells  
30 obtained from peripheral blood or bone marrow of the patient before

treatment. EC progenitors may also be obtained from heterologous or autologous umbilical cord blood. Peripheral blood is preferred due to convenience. The leukocyte fraction of peripheral blood is most preferred. EC progenitors may be isolated using antibodies that  
5 recognize EC progenitor specific antigens on immature human hematopoietic progenitor cells (HSCs). For example, CD34 is commonly shared by EC progenitor and HSCs. CD34 is expressed by all HSCs but is lost by hematopoietic cells as they differentiate (6). It is also expressed by many, including most activated, ECs in the adult (7).  
10 Flk-1, a receptor for vascular endothelial growth factor (VEGF) (8), is also expressed by both early HSCs and ECs, but ceases to be expressed in the course of hematopoietic differentiation (9).

To obtain the EC progenitors from peripheral blood about 5ml to  
15 about 500ml of blood is taken from the patient. Preferably, about 50ml to about 200ml of blood is taken.

EC progenitors can be expanded in vivo by administration of recruitment growth factors, e.g., GM-CSF and IL-3, to the patient prior  
20 to removing the progenitor cells.

Methods for obtaining and using hematopoietic progenitor cells in autologous transplantation are disclosed in USP 5,199,942, the disclosure of which is incorporated by reference.

25

Once the progenitor cells are obtained by a particular separation technique, they may be administered to a selected patient to treat a number of conditions including, for example, unregulated angiogenesis

or blood vessel injury. The cells may also be stored in cryogenic conditions. Optionally, the cells may be expanded ex vivo using, for example, the method disclosed by USP 5,541,103, the disclosure of which is incorporated by reference.

5

The progenitor cells are administered to the patient by any suitable means, including, for example, intravenous infusion, bolus injection, and site directed delivery via a catheter. Preferably, the progenitor cells obtained from the patient are readministered.

10 Generally, from about  $10^6$  to about  $10^{18}$  progenitor cells are administered to the patient for transplantation.

Depending on the use of the progenitor cells, various genetic material may be delivered to the cell. The genetic material that is  
15 delivered to the EC progenitors may be genes, for example, those that encode a variety of proteins including anticancer agents. Such genes include those encoding various hormones, growth factors, enzymes, cytokines, receptors, MHC molecules and the like. The term "genes" includes nucleic acid sequences both exogenous and endogenous to  
20 cells into which a virus vector, for example, a pox virus such as swine pox containing the human TNF gene may be introduced. Additionally, it is of interest to use genes encoding polypeptides for secretion from the EC progenitors so as to provide for a systemic effect by the protein encoded by the gene. Specific genes of interest include those encoding  
25 TNF, TGF- $\alpha$ , TGF- $\beta$ , hemoglobin, interleukin-1, interleukin-2, interleukin-3, interleukin-4, interleukin-5, interleukin-6, interleukin-7, interleukin-8, interleukin-9, interleukin-10, interleukin-11, interleukin-12 etc., GM-CSF, G-CSF, M-CSF, human growth factor, co-stimulatory factor B7, insulin, factor VIII, factor IX, PDGF, EGF, NGF,



IL-1ra, EPO,  $\beta$ -globin, EC mitogens and the like, as well as biologically active muteins of these proteins. The gene may further encode a product that regulates expression of another gene product or blocks one or more steps in a biological pathway. In addition, the gene may  
5 encode a toxin fused to a polypeptide, e.g., a receptor ligand, or an antibody that directs the toxin to a target, such as a tumor cell. Similarly, the gene may encode a therapeutic protein fused to a targeting polypeptide, to deliver a therapeutic effect to a diseased tissue or organ.

10

The cells can also be used to deliver genes to enhance the ability of the immune system to fight a particular disease or tumor. For example, the cells can be used to deliver one or more cytokines (e.g., IL-2) to boost the immune system and/or one or more antigens.

15

These cells may also be used to selectively administer drugs, such as an antiangiogenesis compound such as O-chloroacetyl carbamoyl fumagillol (TNP-470). Preferably the drug would be incorporated into the cell in a vehicle such as a liposome, a timed  
20 released capsule, etc. The EC progenitor would then selectively home in on a site of active angiogenesis such as a rapidly growing tumor where the compound would be released. By this method, one can reduce undesired side effects at other locations.

25

In one embodiment, the present invention may be used to enhance blood vessel formation in ischemic tissue, i.e., a tissue having a deficiency in blood as the result of an ischemic disease. Such tissues can include, for example, muscle, brain, kidney and lung. Ischemic

diseases include, for example, cerebrovascular ischemia, renal ischemia, pulmonary ischemia, limb ischemia, ischemic cardiomyopathy and myocardial ischemia.

5           If it is desirable to further enhance angiogenesis, endothelial cell mitogens may also be administered to the patient in conjunction with, or subsequent to, the administration of the EC progenitor cells. Endothelial cell mitogens can be administered directly, e.g., intra-arterially, intramuscularly, or intravenously, or nucleic acid encoding  
10 the mitogen may be used. See, Baffour, et al., *supra* (bFGF); Pu, et al, *Circulation*, 88:208-215 (1993) (aFGF); Yanagisawa-Miwa, et al., *supra* (bFGF); Ferrara, et al., *Biochem. Biophys. Res. Commun.*, 161:851-855 (1989) (VEGF); (Takeshita, et al., *Circulation*, 90:228-234 (1994)).

15           The nucleic acid encoding the EC mitogen can be administered to a blood vessel perfusing the ischemic tissue or to a site of vascular injury via a catheter, for example, a hydrogel catheter, as described by U.S.S.N. 08/675,523, the disclosure of which is herein incorporated by reference. The nucleic acid also can be delivered by injection directly  
20 into the ischemic tissue using the method described in U.S.S.N. 08/545,998.

          As used herein the term "endothelial cell mitogen" means any protein, polypeptide, mutein or portion that is capable of, directly or  
25 indirectly, inducing endothelial cell growth. Such proteins include, for example, acidic and basic fibroblast growth factors (aFGF and bFGF), vascular endothelial growth factor (VEGF), epidermal growth factor (EGF), transforming growth factor  $\alpha$  and  $\beta$  (TGF- $\alpha$  and TGF- $\beta$ ), platelet-

derived endothelial growth factor (PD-ECGF), platelet-derived growth factor (PDGF), tumor necrosis factor  $\alpha$  (TNF- $\alpha$ ), hepatocyte growth factor (HGF), insulin like growth factor (IGF), erythropoietin, colony stimulating factor (CSF), macrophage-CSF (M-CSF),  
5 granulocyte/macrophage CSF (GM-CSF) and nitric oxidesynthase (NOS). See, Klagsbrun, et al., *Annu. Rev. Physiol.*, 53:217-239 (1991); Folkman, et al., *J. Biol. Chem.*, 267:10931-10934 (1992) and Symes, et al., *Current Opinion in Lipidology*, 5:305-312 (1994). Muteins or fragments of a mitogen may be used as long as they induce or promote  
10 EC cell growth.

Preferably, the endothelial cell mitogen contains a secretory signal sequence that facilitates secretion of the protein. Proteins having native signal sequences, e.g., VEGF, are preferred. Proteins  
15 that do not have native signal sequences, e.g., bFGF, can be modified to contain such sequences using routine genetic manipulation techniques. See, Nabel et al., *Nature*, 362:844 (1993).

The nucleotide sequence of numerous endothelial cell mitogens,  
20 are readily available through a number of computer data bases, for example, GenBank, EMBL and Swiss-Prot. Using this information, a DNA segment encoding the desired may be chemically synthesized or, alternatively, such a DNA segment may be obtained using routine procedures in the art, e.g, PCR amplification. A DNA encoding VEGF is  
25 disclosed in U.S. Patent No. 5,332,671, the disclosure of which is herein incorporated by reference.

In certain situations, it may be desirable to use nucleic acids

encoding two or more different proteins in order optimize the therapeutic outcome. For example, DNA encoding two proteins, e.g., VEGF and bFGF, can be used, and provides an improvement over the use of bFGF alone. Or an angiogenic factor can be combined with  
5 other genes or their encoded gene products to enhance the activity of targeted cells, while simultaneously inducing angiogenesis, including, for example, nitric oxide synthase, L-arginine, fibronectin, urokinase, plasminogen activator and heparin.

10 The term "effective amount" means a sufficient amount of compound, e.g. nucleic acid delivered to produce an adequate level of the endothelial cell mitogen, i.e., levels capable of inducing endothelial cell growth and/or inducing angiogenesis. Thus, the important aspect is the level of mitogen expressed. Accordingly, one can use multiple  
15 transcripts or one can have the gene under the control of a promoter that will result in high levels of expression. In an alternative embodiment, the gene would be under the control of a factor that results in extremely high levels of expression, e.g., *tat* and the corresponding *tar* element.

20

The EC progenitors may also be modified ex vivo such that the cells inhibit angiogenesis. This can be accomplished, for example, by introducing DNA encoding angiogenesis inhibiting agents to the cells, using for example the gene transfer techniques mentioned herein.  
25 Angiogenesis inhibiting agents include, for example, proteins such as thrombospondin (Dameron et al., Science 265:1582-1584 (1994)), angiostatin (O'Reilly et al., Cell 79:315-328 (1994), IFN-alpha (Folkman, J. Nature Med. 1:27-31 (1995)), transforming growth factor beta, tumor necrosis factor alpha, human platelet factor 4 (PF4); substances which

suppress cell migration, such as proteinase inhibitors which inhibit proteases which may be necessary for penetration of the basement membrane, in particular, tissue inhibitors of metalloproteinase TIMP-1 and TIMP-2; and other proteins such as protamine which has  
5 demonstrated angiostatic properties, decoy receptors, drugs such as analogues of the angiostatin fumagillin, e.g., TNP-470 (Ingber et al., Nature 348:555-557 (1990), antibodies or antisense nucleic acid against angiogenic cytokines such as VEGF. Alternatively, the cells may be coupled to such angiogenesis inhibiting agent.

10

If the angiogenesis is associated with neoplastic growth the EC progenitor cell may also be transfected with nucleic acid encoding, or coupled to, anti-tumor agents or agents that enhance the immune system. Such agents include, for example, TNF, cytokines such as  
15 interleukin (IL) (e.g., IL-2, IL-4, IL-10, IL-12), interferons (IFN) (e.g., IFN- $\gamma$ ) and co-stimulatory factor (e.g., B7). Preferably, one would use a multivalent vector to deliver, for example, both TNF and IL-2 simultaneously.

20 The nucleic acids are introduced into the EC progenitor by any method which will result in the uptake and expression of the nucleic acid by the cells. These can include vectors, liposomes, naked DNA, adjuvant-assisted DNA, catheters, gene gun, etc. Vectors include chemical conjugates such as described in WO 93/04701, which has  
25 targeting moiety (e.g. a ligand to a cellular surface receptor), and a nucleic acid binding moiety (e.g. polylysine), viral vector (e.g. a DNA or RNA viral vector), fusion proteins such as described in PCT/US 95/02140 (WO 95/22618) which is a fusion protein containing a target moiety (e.g. an antibody specific for a target cell) and a nucleic acid

binding moiety (e.g. a protamine), plasmids, phage, etc. The vectors can be chromosomal, non-chromosomal or synthetic.

Preferred vectors include viral vectors, fusion proteins and chemical conjugates. Retroviral vectors include moloney murine leukemia viruses and HIV-based viruses. One preferred HIV-based viral vector comprises at least two vectors wherein the *gag* and *pol* genes are from an HIV genome and the *env* gene is from another virus. DNA viral vectors are preferred. These vectors include pox vectors such as orthopox or avipox vectors, herpesvirus vectors such as a herpes simplex I virus (HSV) vector [Geller, A.I. *et al.*, *J. Neurochem*, 64: 487 (1995); Lim, F., *et al.*, in *DNA Cloning: Mammalian Systems*, D. Glover, Ed. (Oxford Univ. Press, Oxford England) (1995); Geller, A.I. *et al.*, *Proc Natl. Acad. Sci.: U.S.A.*:90 7603 (1993); Geller, A.I., *et al.*, *Proc Natl. Acad. Sci USA*: 87:1149 (1990)], Adenovirus Vectors [LeGal LaSalle *et al.*, *Science*, 259:988 (1993); Davidson, *et al.*, *Nat. Genet* 3: 219 (1993); Yang, *et al.*, *J. Virol.* 69: 2004 (1995)] and Adeno-associated Virus Vectors [Kaplitt, M.G., *et al.*, *Nat. Genet.* 8:148 (1994)].

Pox viral vectors introduce the gene into the cells cytoplasm. Avipox virus vectors result in only a short term expression of the nucleic acid. Adenovirus vectors, adeno-associated virus vectors and herpes simplex virus (HSV) vectors are preferred for introducing the nucleic acid into neural cells. The adenovirus vector results in a shorter term expression (about 2 months) than adeno-associated virus (about 4 months), which in turn is shorter than HSV vectors. The particular vector chosen will depend upon the target cell and the condition being treated. The introduction can be by standard techniques, e.g. infection, transfection, transduction or transformation.

Examples of modes of gene transfer include e.g., naked DNA, CaPO<sub>4</sub> precipitation, DEAE dextran, electroporation, protoplast fusion, lipofecton, cell microinjection, viral vectors and use of the "gene gun".

5           To simplify the manipulation and handling of the nucleic acid encoding the protein, the nucleic acid is preferably inserted into a cassette where it is operably linked to a promoter. The promoter must be capable of driving expression of the protein in cells of the desired target tissue. The selection of appropriate promoters can readily be  
10           accomplished. Preferably, one would use a high expression promoter. An example of a suitable promoter is the 763-base-pair cytomegalovirus (CMV) promoter. The Rous sarcoma virus (RSV) (Davis, et al., *Hum Gene Ther* 4:151 (1993)) and MMT promoters may also be used. Certain proteins can expressed using their native  
15           promoter. Other elements that can enhance expression can also be included such as an enhancer or a system that results in high levels of expression such as a *tat* gene and *tar* element. This cassette can then be inserted into a vector, e.g., a plasmid vector such as pUC118, pBR322, or other known plasmid vectors, that includes, for example,  
20           an *E. coli* origin of replication. See, Sambrook, et al., *Molecular Cloning: A Laboratory Manual*, Cold Spring Harbor Laboratory press, (1989). The plasmid vector may also include a selectable marker such as the  $\beta$ -lactamase gene for ampicillin resistance, provided that the marker polypeptide does not adversely effect the metabolism of the organism  
25           being treated. The cassette can also be bound to a nucleic acid binding moiety in a synthetic delivery system, such as the system disclosed in WO 95/22618.

If desired, the preselected compound, e.g. a nucleic acid such as

DNA may also be used with a microdelivery vehicle such as cationic liposomes and adenoviral vectors. For a review of the procedures for liposome preparation, targeting and delivery of contents, see Mannino and Gould-Fogerite, *BioTechniques*, 6:682 (1988). See also, Felgner and Holm, *Bethesda Res. Lab. Focus*, 11(2):21 (1989) and Maurer, R.A.,  
5 *Bethesda Res. Lab. Focus*, 11(2):25 (1989).

Replication-defective recombinant adenoviral vectors, can be produced in accordance with known techniques. See, Quantin, et al.,  
10 *Proc. Natl. Acad. Sci. USA*, 89:2581-2584 (1992); Stratford-Perricadet, et al., *J. Clin. Invest.*, 90:626-630 (1992); and Rosenfeld, et al., *Cell*, 68:143-155 (1992).

The effective dose of the nucleic acid will be a function of the  
15 particular expressed protein, the target tissue, the patient and his or her clinical condition. Effective amount of DNA are between about 1 and 4000 $\mu$ g, more preferably about 1000 and 2000, most preferably between about 2000 and 4000.

20 Alternatively, the EC progenitors may be used to inhibit angiogenesis and/or neoplastic growth by delivering to the site of angiogenesis a cytotoxic moiety coupled to the cell. The cytotoxic moiety may be a cytotoxic drug or an enzymatically active toxin of bacterial, fungal or plant origin, or an enzymatically active polypeptide  
25 chain or fragment ("A chain") of such a toxin. Enzymatically active toxins and fragments thereof are preferred and are exemplified by diphtheria toxin A fragment, non-binding active fragments of diphtheria toxin, exotoxin A (from *Pseudomonas aeruginosa*), ricin A chain, abrin



A chain, modeccin A chain, alphasarcin, certain *Aleurites fordii* proteins, certain *Dianthin* proteins, *Phytolacca americana* proteins (PAP, PAPII and PAP-S), *Momordica charantia* inhibitor, curcin, crotin, *Saponaria officinalis* inhibitor, gelonin, mitogellin, restrictocin, phenomycin, and enomycin, Ricin A chain, *Pseudomonas aeruginosa* exotoxin A and PAP are preferred.

Conjugates of the EC progenitors and such cytotoxic moieties may be made using a variety of coupling agents. Examples of such reagents are N-succinimidyl-3- (2-pyridyldithio) propionate (SPDP), iminothiolane (IT), bifunctional derivatives of imidoesters such as dimethyl adeipimidate HCl, active esters such as disuccinimidyl suberate, aldehydes such as glutaraldehyde, bis-azido compounds such as bis(p-diazoniumbenzoyl)-ethylenediamine, diisocyanates such as tolylene 2,6-diisocyanate, and bis-active fluorine compounds such as 1,5-difluoro-2,4-dinitrobenzene.

The enzymatically active polypeptide of the toxins may be recombinantly produced. Recombinantly produced ricin toxin A chain (rRTA) may be produced in accordance with the methods disclosed in PCT W085/03508 published August 15, 1985. Recombinantly produced diphtheria toxin A chain and non-binding active fragments thereof are also described in PCT W085/03508 published August 15, 1985.

25

The methods of the present invention may be used to treat blood vessel injuries that result in denuding of the endothelial lining of the vessel wall. For example, primary angioplasty is becoming widely used

for the treatment of acute myocardial infarction. In addition, endovascular stents are becoming widely used as an adjunct to balloon angioplasty. Stents are useful for rescuing a sub-optimal primary result as well as for diminishing restenosis. To date, however, the liability of the endovascular prosthesis has been its susceptibility to thrombotic occlusion in approximately 3% of patients with arteries 3.3 mm or larger. If patients undergo stent deployment in arteries smaller than this the incidence of sub-acute thrombosis is even higher. Sub-acute thrombosis is currently prevented only by the aggressive use of anticoagulation. The combination of vascular intervention and intense anticoagulation creates significant risks with regard to peripheral vascular trauma at the time of the stent/angioplasty procedure. Acceleration of reendothelialization by administration of EC progenitors to a patient undergoing, or subsequent to, angioplasty and/or stent deployment can stabilize an unstable plaque and prevent re-occlusion.

The method of the present invention may be used in conjunction with the method for the treatment of vascular injury disclosed in PCT/US96/15813.

20

In addition, the methods of the present invention may be used to accelerate the healing of graft tissue, e.g., vascular grafts.

The present invention also includes pharmaceutical products for all the uses contemplated in the methods described herein. For example, there is a pharmaceutical product, comprising nucleic acid encoding an endothelial cell mitogen and EC progenitors, in a physiologically acceptable administrable form.

The present invention further includes a kit for the in vivo systemic introduction of an EC progenitor and an endothelial cell mitogen or nucleic acid encoding the same into a patient. Such a kit  
5 includes a carrier solution, nucleic acid or mitogen, and a means of delivery, e.g., a catheter or syringe. The kit may also include instructions for the administration of the preparation.

All documents mentioned herein are incorporated by reference  
10 herein in their entirety.

The present invention is further illustrated by the following examples. These examples are provided to aid in the understanding of the invention and are not construed as a limitation thereof.

15

## EXAMPLES

### Method and Materials

Human peripheral blood was obtained using a 20 gauge intravenous catheter, discarding the first 3 ml. Leukocyte fraction of  
20 blood was obtained by Ficoll density gradient centrifugation and plated on plastic tissue culture for 1 hr to avoid contamination by differentiated adhesive cells.

Fluorescent activated cell sorting (FACS) was carried out with  
25  $>1 \times 10^6$  CD34 positive and negative mononuclear blood cells (MBCD34<sup>+</sup>,

MB<sup>CD34</sup>). Cells were analyzed with Becton-Dickinson FACS sorter and the lysis II analysis program using antibodies to CD34 (Biodesign).

5 M-199 medium with 20 % FBS and bovine brain extract (Clonetics) was used as standard medium for all cell culture experiments.

10 C57BL/6Jx129/SV background male mice (Hirlan), 3 mo old and 20 - 30 g, were used in these experiments (n=24). Animals were anesthetized with 160 mg/kg intraperitoneally of pentobarbital. The proximal end of one femoral artery and distal portion of the corresponding saphenous artery were ligated, following which the artery, as well as all side-branches, were dissected free and excised. (All protocols were approved by St. Elizabeth's Institutional Animal  
15 Care and Use Committee.)

20 New Zealand White rabbits (3.8-4.2 kg, n=4, Pine Acre Rabbitry) were anesthetized with a mixture of ketamine (50 mg/kg) and acepromazine (0.8 mg/kg) following premedication with xylazine (2mg/kg). After a longitudinal incision, the femoral artery was dissected free along its entire length; all branches of the femoral artery were also dissected free. After ligating the popliteal and saphenous arteries distally, the external iliac artery proximally and all femoral arterial branches, the femoral artery was completely excised (23).

25

Isolation and Analysis

CD34 positive mononuclear blood cells ( $MB^{CD34+}$ ) were isolated from peripheral blood by CD34 antibody-coated magnetic beads (Dynal) as described above.

- 5 FACS analysis indicated that  $15.9 \pm 3.3\%$  of selected cells versus  $<0.1\%$  of the remaining cells expressed CD34. Depleted ( $MB^{CD34-}$ ) cells were used as controls. Flk-1 antibody was used for magnetic bead selection of Flk-1 positive mononuclear blood cells ( $MB^{Flk1+}$ ).
- 10  $MB^{CD34+}$  and  $MB^{CD34-}$  were plated separately in standard medium on tissue culture plastic, collagen type I, or fibronectin. When plated on tissue culture plastic or collagen at a density of  $1 \times 10^3/\text{mm}^2$ , a limited number of  $MB^{CD34+}$  attached, and became spindle shaped and proliferated for 4 wks. A subset of  $MB^{CD34+}$  plated on fibronectin
- 15 promptly attached and became spindle shaped within 3 days (Fig. 1A); the number of attaching cells ( $AT^{CD34+}$ ) in culture increased with time (Fig. 2). Attached cells were observed only sporadically among cultures of  $MB^{CD34-}$ , including cells followed for up to 4 wks on fibronectin-coated plates.
- 20 To confirm that spindle-shaped cells were derived from CD34 positive cells,  $MB^{CD34+}$  were labeled with the fluorescent dye, DiI, and co-plated with unlabeled  $MB^{CD34-}$  on fibronectin at an overall density of  $5 \times 10^3/\text{mm}^2$ ; ratio of the two cell types was identical to that of the
- 25 original mononuclear cell population ( $1\% MB^{CD34+}$ ,  $99\% MB^{CD34-}$ ). Seven days later, DiI-labeled cells derived from  $MB^{CD34+}$ , initially accounting for only  $1\%$  of blood cells, accounted for  $60.3 \pm 4.7\%$  of total attaching cells analyzed by FACS. Co-incubation with  $MB^{CD34-}$  increased proliferation to  $>10X$   $MB^{CD34+}$  plated alone at a cell density of
- 30  $5 \times 10/\text{mm}^2$  cell (d 3=  $131.3 \pm 26.8$  vs  $9.7 \pm 3.5/\text{mm}^2$ ).  $MB^{CD34+}/MB^{CD34-}$  co-

cultures also enhanced MB<sup>CD34+</sup> differentiation, including formation of cellular networks and tube-like structures on fibronectin-coated plates (Figs. 1B,C). These structures consisted principally of DiI-labeled MB<sup>CD34+</sup> derived cells (Fig. 1C). Moreover, within 12 h of co-culture, multiple cluster formations were observed (Fig. 1D), consisting principally of DiI-labeled MB<sup>CD34+</sup> derived cells (Fig. 1E). These clusters were comprised of round cells centrally, and sprouts of spindle-shaped cells at the periphery. The appearance and organization of these clusters resembled that of blood island-like cell clusters observed in dissociated quail epiblast culture, which induced ECs and gave rise to vascular structures in vitro (3). AT<sup>CD34+</sup> at the cluster periphery were shown to take up DiI-labeled acetylated LDL, characteristic of EC lineage (13), whereas the round cells comprising the center of cluster did not (Figs. 1F,G); the latter detached from the cluster several days later. Similar findings were observed in the experiments using MB<sup>Flk1+</sup>.

#### Expression of Leukocyte and EC Markers

To further evaluate progression of MB<sup>CD34+</sup> to an EC-like phenotype, cells were assayed for expression of leukocyte and EC markers. Freshly isolated MB<sup>CD34+</sup> versus AT<sup>CD34+</sup> cultured at densities of  $1 \times 10^3$  cell/mm<sup>2</sup> for 7 days were incubated with fluorescent-labeled antibodies and analyzed by FACS (Fig.3). Leukocyte common antigen, CD45, was identified on 94.1% of freshly isolated cells, but was essentially lost by 7d in culture (Fig. 3). Augmented expression of UEA-1, CD34, CD31, Flk-1, Tie-2 and E-selectin - all denoting EC lineage (14) - was detected among AT<sup>CD34+</sup> after 7 days in culture, compared to freshly isolated MB<sup>CD34+</sup>. CD68 expression, suggesting monocyte/macrophage lineage, was limited to  $6.0 \pm 2.4\%$  cells.

Expression of Factor VIII, UEA-1, CD31, eNOS, and E-selectin was also documented by immunohistochemistry for AT<sup>CD34+</sup> after 7 days

culture (data not shown). After 3, 7, and 14 days in culture, more than 80% AT<sup>CD34+</sup> took up DiI-labeled acLDL (13).

5           ECs uniquely express endothelial constitutive nitric oxide synthase (ecNOS). Accordingly, MB<sup>CD34+</sup>, MB<sup>CD34-</sup> and AT<sup>CD34+</sup> were investigated for expression of ecNOS by RT-PCR (15). ecNOS mRNA was not detectable among MB<sup>CD34-</sup> and was present at very low levels in freshly isolated MB<sup>CD34+</sup> (Fig. 4). In AT<sup>CD34+</sup> cultured for 7d, however,  
10   ecNOS mRNA was markedly increased (Fig. 5). Functional evidence of ecNOS protein in AT<sup>CD34+</sup> was documented by measurement of nitric oxide in response to the EC-dependent agonist, acetylcholine (Ach), and the EC-specific mitogen, vascular endothelial growth factor (VEGF) (16) (Fig. 5); the latter parenthetically constitutes evidence for a  
15   functional Flk-1 receptor as well among AT<sup>CD34+</sup>.

#### Cell-Cell Interaction

Cell-cell interaction is considered to play a decisive role in cell signaling, differentiation, and proliferation during hematopoiesis (19)  
20   and angiogenesis (20). To study the impact of MB<sup>CD34+</sup> interaction with mature ECs on the differentiation of MB<sup>CD34+</sup> into an EC-like phenotype, DiI-labeled MB<sup>CD34+</sup> were plated on a confluent HUVEC monolayer. Adherent, labeled cells were found throughout the culture within 12 h (Fig. 6A), and increased in number for up to 3d (Fig. 6B).  
25   When incubated with 50ng/ml VEGF and 10ng/ml bFGF, a meshwork of cord-like structures comprised of both DiI-labeled and unlabeled cells could be seen within 3 d after co-culture (Fig. 6C). Both cell types were then re-seeded on Matrigel (Becton Dickinson) coated slides and within 12h demonstrated formation of capillary networks comprised of  
30   DiI-labeled MB<sup>CD34+</sup> derived cells and HUVECs (Fig. 6D). To facilitate cell-cell interaction, HUVECs were pre-treated with TNF-

$\alpha_{(21)}$ , resulting in increased numbers of  $AT^{CD34+}$  (Fig. 6E); synergistic augmentation was observed upon co-incubation with VEGF. Identically treated co-cultures of HUVECs and DiI-labeled  $MB^{CD34-}$  yielded desquamated labeled cells and/or no cords. Similar findings were  
5 observed when EC precursors were isolated using  $MB^{Flk1+}$ .

#### In Vivo Angiogenesis

Previous studies have established that ECs constitute the principal cell responsible for in vivo angiogenesis (1). To determine if  
10  $MB^{CD34+}$  can contribute to angiogenesis in vivo, we employed two previously characterized animal models of hindlimb ischemia. For administration of human  $MB^{CD34+}$ , C57BL/6Jx129/SV background athymic nude mice were employed to avoid potential graft- versus host complications. Two days later, when the limb was severely ischemic,  
15 mice were injected with  $5 \times 10^5$  DiI-labeled human  $MB^{CD34+}$  or  $MB^{CD34-}$  via the tail vein. Histologic sections of limbs examined 1, 2, 4, and 6 wks later for the presence of DiI labeled cells revealed numerous DiI-labeled cells in the neo-vascularized ischemic hindlimb. Labeled cells were more numerous in  $MB^{CD34+}$  versus  $MB^{CD34-}$  injected mice, and  
20 almost all labeled cells appeared to be integrated into capillary vessel walls (Fig. 8A,C,E,G).

No labeled cells were observed in the uninjured limbs of either  $MB^{CD34+}$  or  $MB^{CD34-}$  injected mice. DiI labeled cells were also  
25 consistently co-labeled with immunostains for UEA-1 lectin (Fig. 8B), CD31 (Fig. 8D), and Tie-2 (Fig. 8F). In contrast, in hindlimb sections from mice injected with  $MB^{CD34-}$ , labeled cells were typically found in stroma near capillaries, but did not form part of the vessel wall, and did not label with UEA-1 or anti-CD31 antibodies (Fig. 8G,H).



A transgenic mouse overexpressing  $\beta$ -galactosidase was then used to test the hypothesis that homologous grafts of EC progenitors could contribute to neovascularization in vivo. Flk-1 cell isolation was used for selection of EC progenitors due to lack of a suitable anti-  
5 mouse CD34 antibody. Approximately  $1 \times 10^4$  MB<sup>Flk1+</sup> were isolated from whole blood of 10  $\beta$ -galactosidase transgenic mice with B6,129 genetic background. MB<sup>Flk1+</sup> or the same number of MB<sup>Flk1-</sup> were injected into B6,129 mice with hindlimb ischemia of 2 days duration.  
Immunostaining of ischemic tissue for  $\beta$ -galactosidase, harvested 4 wks  
10 after injection, demonstrated incorporation of cells expressing  $\beta$ -galactosidase in capillaries and small arteries (Fig. 8I); these cells were identified as ECs by staining with anti-CD31 antibody and BS-1 lectin.

Finally, in vivo incorporation of autologous MB<sup>CD34+</sup> into foci of neovascularization was tested in a rabbit model of unilateral hindlimb ischemia. MB<sup>CD34+</sup> were isolated from 20ml of blood obtained by direct venipuncture of normal New Zealand white rabbits immediately prior to surgical induction of unilateral hindlimb ischemia. Immediately following completion of the operative procedure, freshly isolated autologous Dil-labeled MB<sup>CD34+</sup> were re-injected into the ear vein of the same rabbit from which the blood had been initially obtained. Four wks after ischemia, histologic sections of the ischemic limbs were examined. Dil-labeled cells were localized exclusively to neovascular zones of the ischemic limb, incorporated into capillaries and consistently expressing CD31 and UEA-1 (Fig. 8J,K).

Consistent with the notion that HSCs and ECs are derived from a common precursor, our findings suggest that under appropriate conditions, a subpopulation of MB<sup>CD34+</sup> or MB<sup>Flk-1+</sup> can differentiate into ECs in vitro. Moreover, the in vivo results suggest that circulating MB<sup>CD34+</sup> or MB<sup>Flk-1+</sup> in the peripheral blood may constitute a contingency source of ECs for angiogenesis. Incorporation of in situ differentiating EC progenitors into the neovasculature of these adult species is consistent with vasculogenesis, a paradigm otherwise restricted to embryogenesis (2,3). The fact that these cells do not incorporate into mature blood vessels not undergoing angiogenesis suggests that injury, ischemia, and/or active angiogenesis are required to induce in situ differentiation of MB<sup>CD34+</sup> to ECs.

## EXAMPLE II

### EC Progenitors Augment Reendothelialization

Following balloon injury, a denuded rat carotid artery was immediately excised and placed in culture in HUVEC medium, and Dil

labeled CD34+ EC progenitor cells were seeded onto the artery. After 1wk, the artery was washed with PBS to remove non-adherent cells. Consistent with the ability of CD34+ cells to differentiate into filtrating cells, DiI labeled cells were found within the smooth muscle cell layer of the artery. Scanning electron microscopy of the intimal surface, however, showed that DiI-labeled cells also had adhered to the denuded arterial surface, assuming a morphology suggestive of ECs (fig. 9). DiI labeled cells also incorporated into the capillary-like sprouts at the bare ends of the excised arterial segment, suggesting that CD34+ cells may be capable of participating in angiogenesis as well.

To determine if exogenously administered CD34+ EC progenitor cells can contribute to reendothelialization of a denuded arterial surface in vivo, freshly isolated human CD34+ or CD34- cells were DiI labeled and seeded onto a denuded carotid artery of a nude rat. Following balloon denudation,  $1.0 \times 10^6$  labeled cells in PBS was introduced into the denuded artery via a 22G catheter, which remained in the artery for 30 min before the needle was withdrawn. The external carotid artery was then ligated, the common and internal carotid arterial ligatures removed, and the incision closed. The next day the rat was anesthetized and the vasculature perfusion fixed with Histo Choice (Amresco). The denuded arterial segment was excised and examined for the presence of adherent DiI labeled cells, which were identified in arteries seeded with CD34+ cells, but not CD34- cells.

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This invention has been described in detail including the preferred embodiments thereof. However, it will be appreciated that those skilled in the art, upon consideration of this disclosure, may  
10 make modifications and improvements thereon without departing from the spirit and scope of the invention as set forth in the claims.



What is claimed is:

1. Use of an endothelial progenitor cell or modified version thereof to regulate angiogenesis in the treatment of a disease associated with angiogenesis.
2. The use of claim 1, wherein said regulation is a reduction in angiogenesis.
3. The use of claim 1, wherein said regulation is an enhancement of angiogenesis.
4. The use of claim 2, wherein said cell has been modified to contain a compound that inhibits angiogenesis.
5. The use of claim 3, wherein the endothelial progenitor cell is modified endothelial cell, wherein said cell has been modified to express an endothelial cell mitogen.
6. The use of claim 1, further comprising an endothelial cell mitogen or a nucleic acid encoding an endothelial cell mitogen.
7. The use of claim 5, wherein the endothelial mitogen is selected from the group consisting of acidic and basic fibroblast growth factors, vascular endothelial growth factor, epidermal growth factor,

transforming growth factor  $\alpha$  and  $\beta$ , platelet-derived endothelial growth factor, platelet-derived growth factor, tumor necrosis factor  $\alpha$ , hepatocyte growth factor, insulin like growth factor, erythropoietin, colony stimulating factor, macrophage-CSF, granulocyte/macrophage CSF and nitric oxidesynthase.

8. The use of claim 4, wherein the compound is a cytotoxic compound.

9. The use of claim 4, wherein the compound is an angiogenesis inhibitor.

10. The use of claim 6, wherein the endothelial cell mitogen is selected from the group consisting of acidic and basic fibroblast growth factors, vascular endothelial growth factor, epidermal growth factor, transforming growth factor  $\alpha$  and  $\beta$ , platelet-derived endothelial growth factor, platelet-derived growth factor, tumor necrosis factor  $\alpha$ , hepatocyte growth factor, insulin like growth factor, erythropoietin, colony stimulating factor, macrophage-CSF, granulocyte/macrophage CSF and nitric oxidesynthase.

11. The use of claim 10, wherein the endothelial cell mitogen is vascular endothelial growth factor.

12. The use of claim 2, wherein said disease is rheumatoid arthritis, psoriasis, ocular neovascularization, diabetic retinopathy,

neovascular glaucoma, or an angiogenesis-dependent tumor or tumor metastasis.

13. The use of claim 3, wherein said disease is cerebrovascular ischemia, renal ischemia, pulmonary ischemia, limb ischemia, ischemic cardiomyopathy and myocardial ischemia.

14. Use of an isolated endothelial progenitor cell for treatment of an injured blood vessel.

15. The use of claim 14, wherein the injury is the result of balloon angioplasty.

16. The use of claim 14, wherein the injury is the result of deployment of an endovascular stent.

17. The use of claim 14, further comprising use of an endothelial cell mitogen or a nucleic acid encoding an endothelial cell mitogen.

18. The use of claim 17, wherein the endothelial cell mitogen is selected from the group consisting of acidic and basic fibroblast growth factors, vascular endothelial growth factor, epidermal growth factor, transforming growth factor  $\alpha$  and  $\beta$ , platelet-derived endothelial growth factor, platelet-derived growth factor, tumor necrosis factor  $\alpha$ , hepatocyte growth factor, insulin like growth factor, erythropoietin,

colony stimulating factor, macrophage-CSF, granulocyte/macrophage CSF and nitric oxidesynthase.

19. A method of screening for the presence of ischemic tissue or vascular injury in a patient comprising contacting the patient with a labelled EC progenitor and detecting the labelled cells at the site of the ischemic tissue or vascular injury.

20. A pharmaceutical product comprising an isolated endothelial progenitor cell, in a physiologically acceptable administrable form.

21. The pharmaceutical product of claim 20, further comprising a nucleic acid encoding an endothelial cell mitogen.

22. A kit for the *in vivo* systemic introduction of an endothelial progenitor cell and an endothelial cell mitogen or nucleic acid encoding the same into a patient, said kit comprising a carrier solution, nucleic acid or mitogen, and a means for delivery.

23. The kit of claim 22, wherein the means for delivery is a catheter or syringe.

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FIG. 1A



FIG. 1B



FIG. 1C

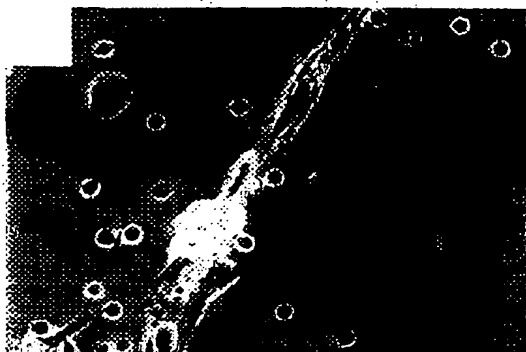


FIG. 1D

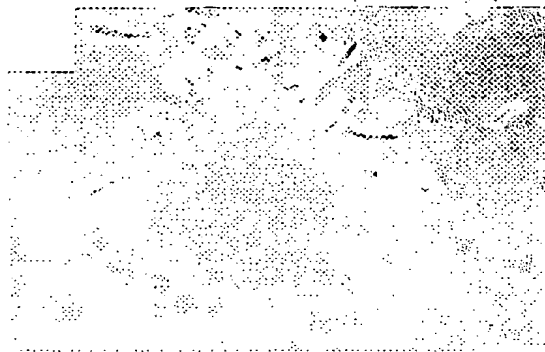


FIG. 1E

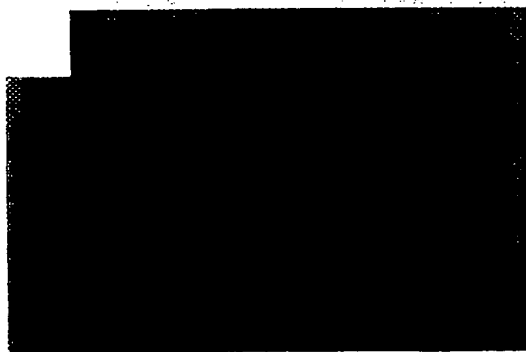


FIG. 1F

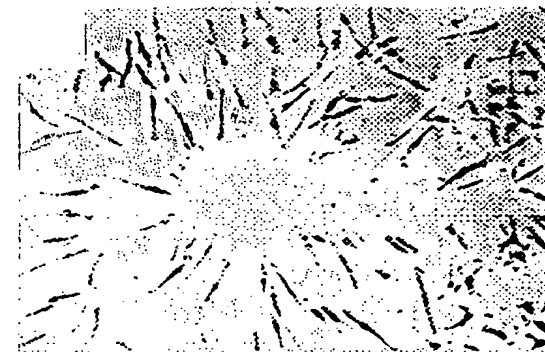
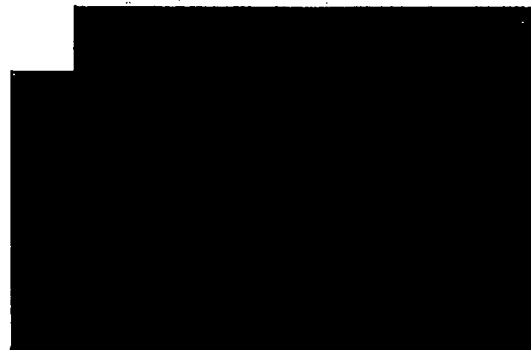


FIG. 1G



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FIG. 2

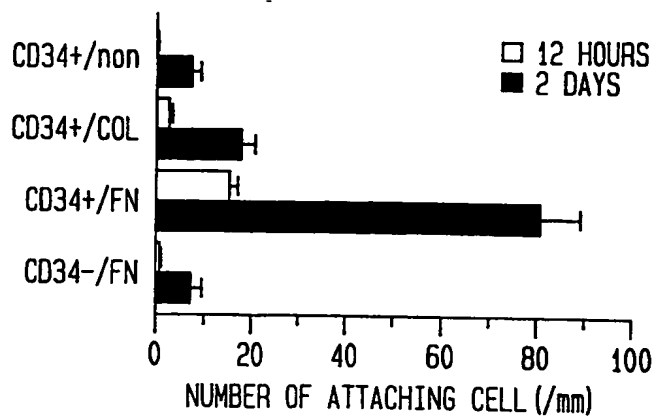


FIG. 5

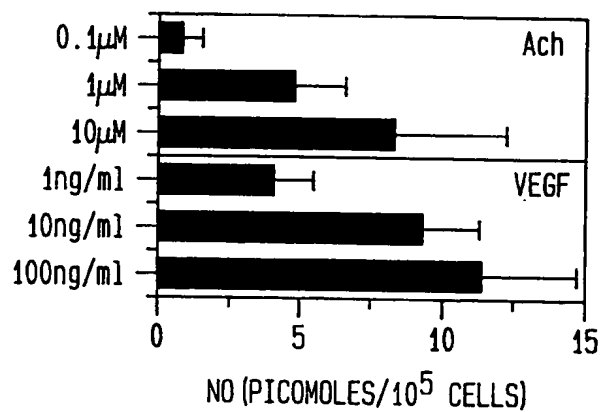
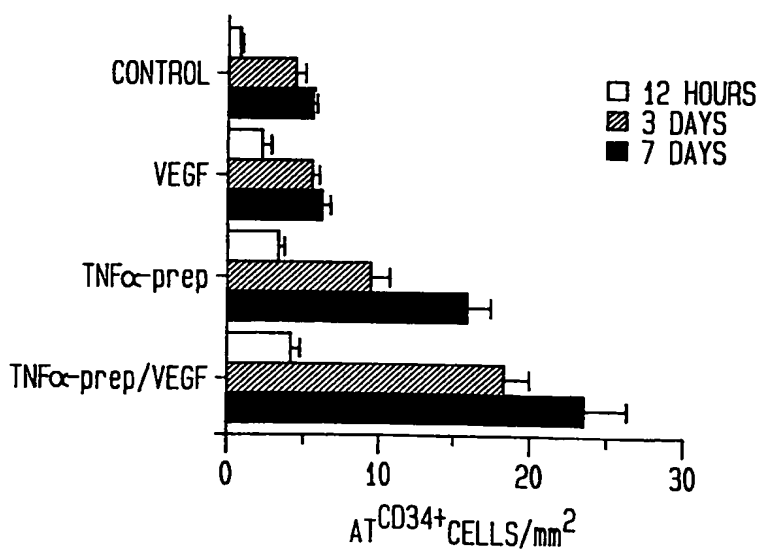
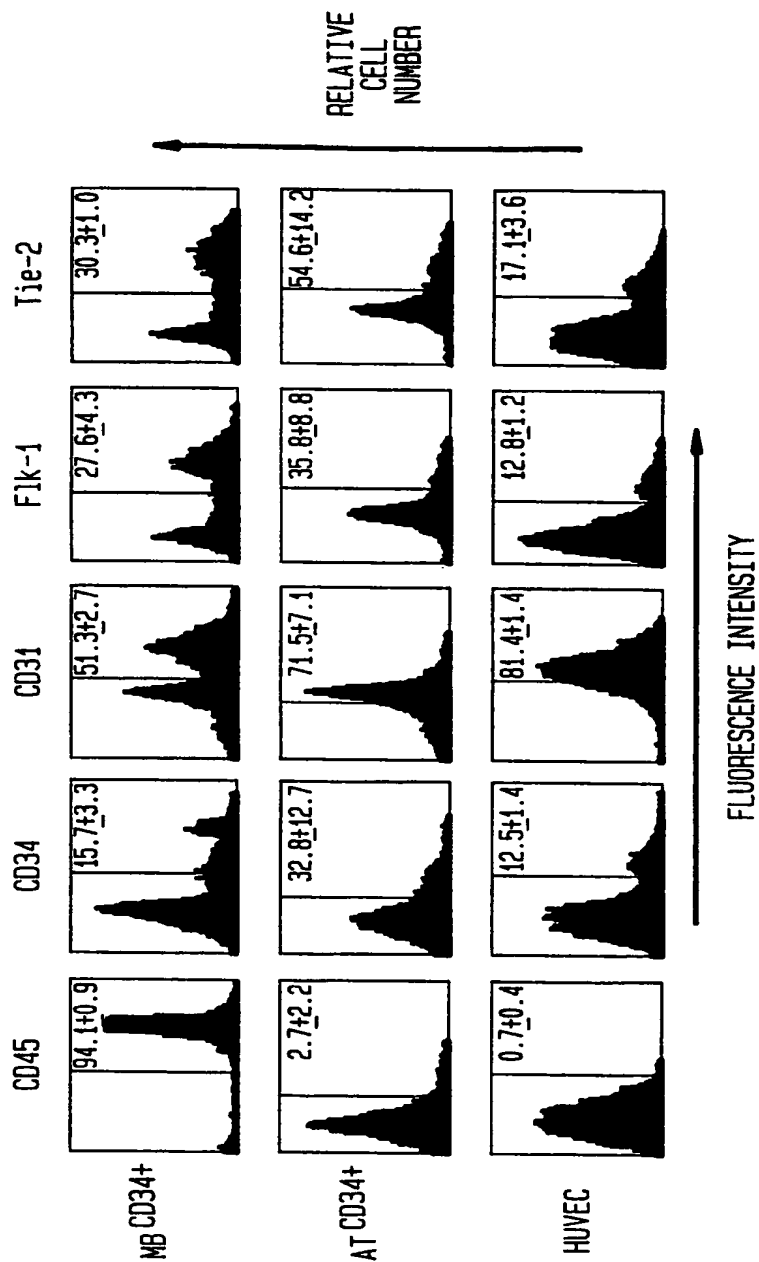


FIG. 7



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FIG. 3



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FIG. 4

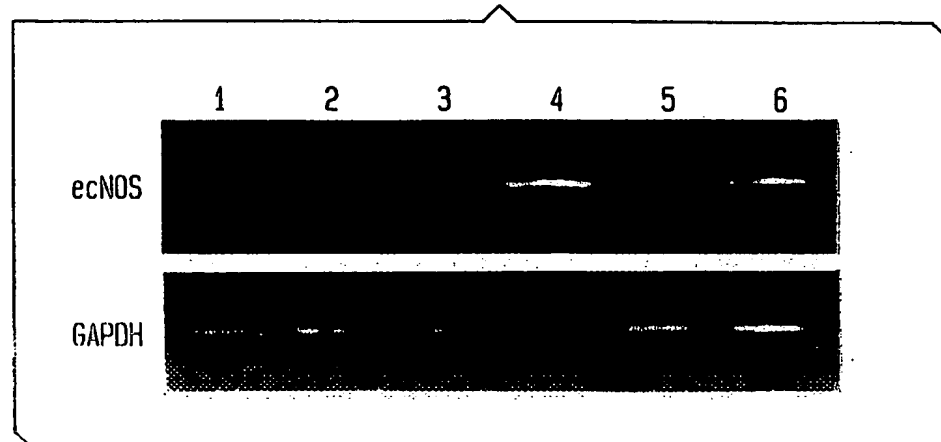
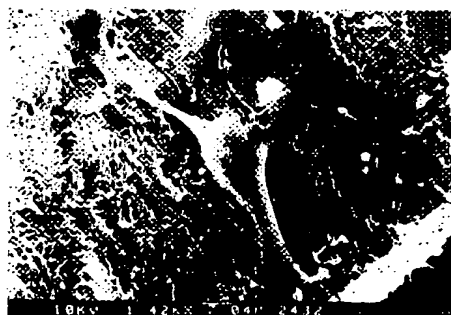


FIG. 9



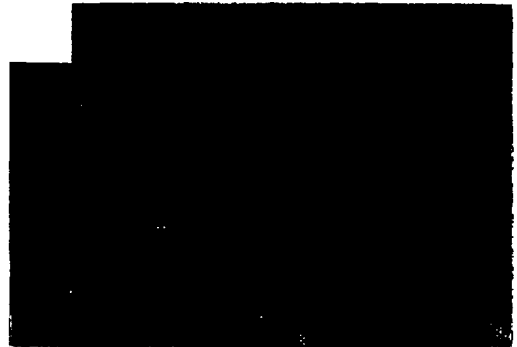


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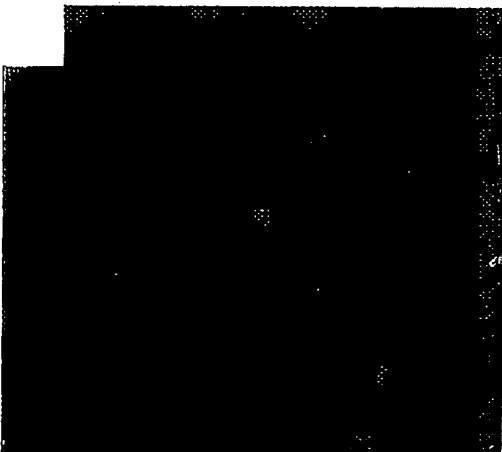
*FIG. 6A*



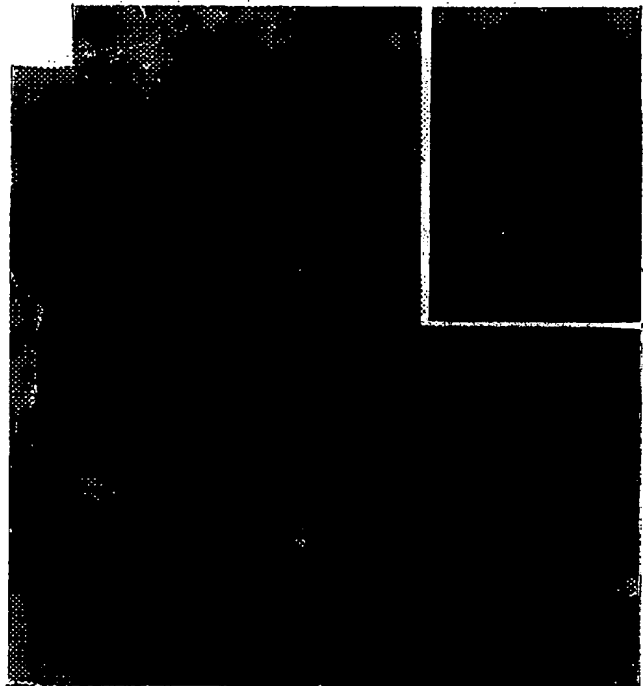
*FIG. 6B*



*FIG. 6C*



*FIG. 6D*



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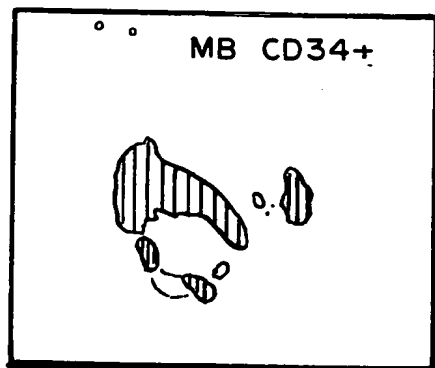


FIG. 8A

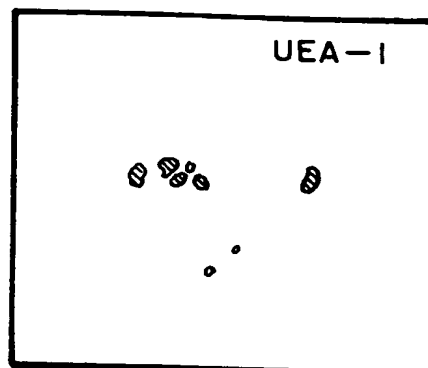


FIG. 8B

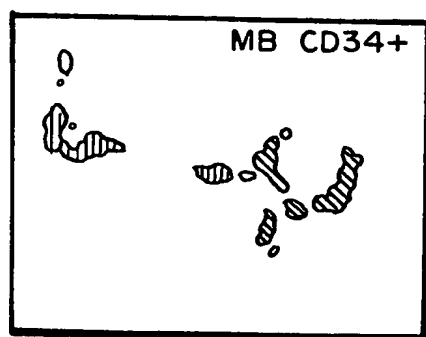


FIG. 8C

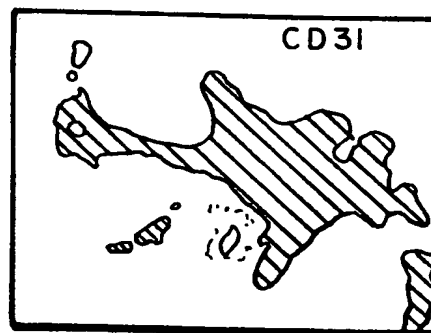


FIG. 8D

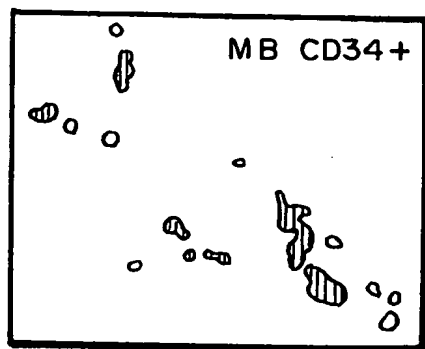


FIG. 8E

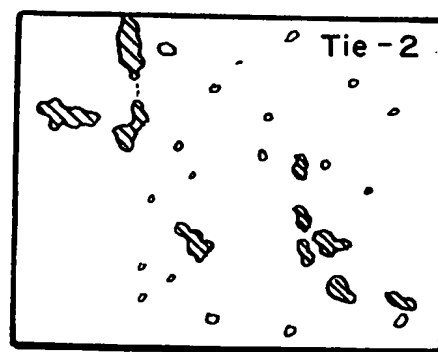


FIG. 8F

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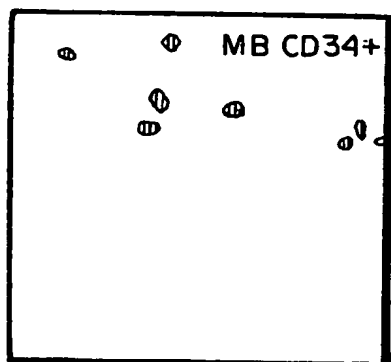


FIG. 8G

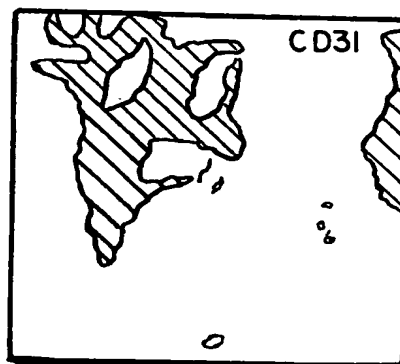


FIG. 8H

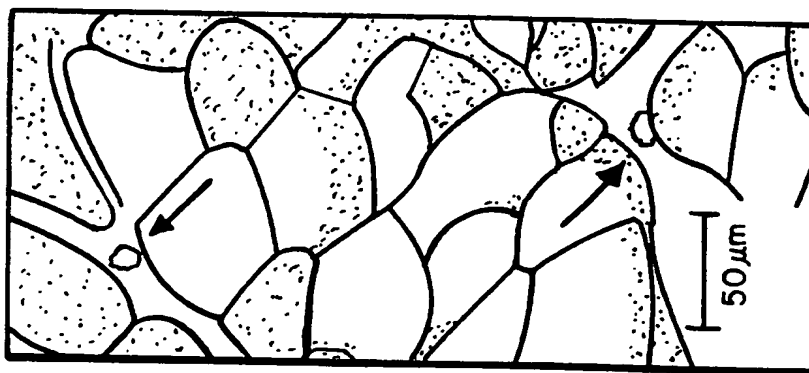


FIG. 8I

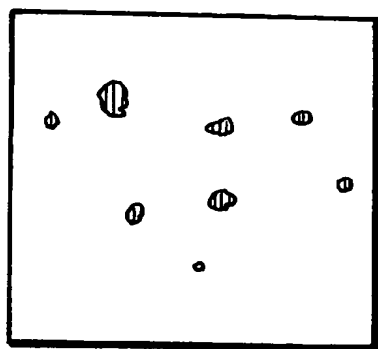


FIG. 8J

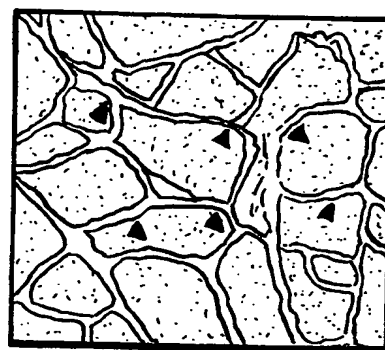


FIG. 8K

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 97/19935

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 6    A61K48/00    A61K35/12    C12N5/10    A61K47/00    A61K49/00		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC 6    C12N    A61K		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	ASAHARA T. ET AL: "Blood cell derived endothelial cell precursor can participate in angiogenesis in vivo" CIRCULATION, vol. 94, no. 8, 15 October 1996, page 1237 XP002059358 see the whole document	1,3,19, 20
Y	--- WO 93 13807 A (UNIV GEORGETOWN) 22 July 1993 see the whole document ---	2,4-18, 21-23
Y	--- WO 93 13807 A (UNIV GEORGETOWN) 22 July 1993 see the whole document ---	2,4-18, 21-23
-/--		
<input checked="" type="checkbox"/> Further documents are listed in the continuation of box C. <input checked="" type="checkbox"/> Patent family members are listed in annex.		
* Special categories of cited documents : <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p> </div> </div>		
Date of the actual completion of the international search  <div style="text-align: center; font-size: 1.2em;">18 March 1998</div>		Date of mailing of the international search report  <div style="text-align: center; font-size: 1.2em;">08.04.98</div>
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016		Authorized officer  <div style="text-align: center; font-size: 1.2em;">Fernandez y Branas, F</div>

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 97/19935

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	TAKESHITA S. ET AL: "Gene transfer of naked DNA encoding for three isoforms of vascular endothelial growth factor stimulates collateral development in vivo" LABORATORY INVESTIGATION, vol. 75, no. 4, October 1996, pages 487-501, XP002059359 see the whole document	22,23
Y		7,10,11, 13-18
X	ISNER J.M. ET AL: "Clinical evidence of angiogenesis after arterial gene transfer of phVEGF165 in patient with ischaemic limb" LANCET THE, vol. 348, August 1996, LONDON GB, pages 370-374, XP002059360 see the whole document	22,23
X,P	WO 97 12519 A (ST ELIZABETH S MEDICAL CENTER) 10 April 1997 see claims 1-13	22,23
X,P	WO 97 30083 A (NOVARTIS) 21 August 1997 see page 4 - page 5 see page 19, last paragraph see page 20 - page 21	1,20
X,P	ASAHARA T. ET AL: "Isolation of putative progenitor endothelial cells for angiogenesis" SCIENCE, vol. 275, 14 February 1997, LANCASTER, PA US, pages 964-967, XP002059361 see the whole document	1-23
A	ASAHARA T. ET AL: "In vitro evidence for endothelial cell precursors in peripheral blood" CIRCULATION, vol. 94, no. 8, October 1996, page 1102 XP002059362 see the whole document	1-23
A	WO 92 07573 A (SOMATIX THERAPY CORP ;HUGHES HOWARD MED INST (US); WHITEHEAD BIOME) 14 May 1992 see the whole document	1-23
A	WO 89 03875 A (UNIV JEFFERSON) 5 May 1989	1-23
	-/--	

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 97/19935

## C(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>WO 96 06933 A (SANDOZ LTD ;NEW ENGLAND DEACONESS HOSPITAL (US); BACH FRITZ H (US)) 7 March 1996 see the whole document -----</p>	1-23

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 97/19935

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
see FURTHER INFORMATION sheet PCT/ISA/210
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

Remark : Although claims 1-18 are directed to a method of treatment of the human/animal body , the search has been carried out and based on the alleged effects of the composition.

Remark : Although claim 19 is directed to a diagnostic method practised on the human/animal body , the search has been carried out and based on the alleged effects of the composition.



# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 97/19935

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9313807 A	22-07-93	AU 3429993 A	03-08-93
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